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| <b>Action:</b>          | <b>For Decision</b>   |

## SEC Modification timetables – September 2023

### 1. Purpose

This paper provides an update on Modification Proposals and Draft Proposals that SECAS is currently working on. The paper presents updates on modifications which are ranked as 'high' or 'very high' on the prioritisation scoring matrix. It also notes any changes to Opine dates which have previously been agreed by the Change Sub-Committee (CSC) and the reasoning why the date has been altered. This paper also provides updates on modifications which are currently on hold, with the latest update and the length of time it has been inactive.

This paper also presents this month's new Draft Proposals and their prioritisation scoring. Details are also included about Anticipated Modifications which SECAS seeks the CSC's early view on before potentially becoming Draft Proposals.

SECAS also provides selected updates on modifications which are not included in other parts of this paper but where a notable development has taken place since the last CSC meeting.

### 2. Monthly summary

The table below summarises key activities from the last month:

| Action                                                  | Number | Modifications              |
|---------------------------------------------------------|--------|----------------------------|
| Underwent final vote at Change Board on 23 August 2023  | 2      | MP211, MP219               |
| Scheduled for vote at Change Board on 20 September 2023 | 2      | MP245, MP167B              |
| New Draft Proposals raised                              | 4      | DP246, DP247, DP248, DP249 |
| Withdrawn Proposals                                     | 3      | MP228, MP237, MP240        |
| Anticipated Modifications being assessed                | 3      |                            |
| Live Preliminary Assessments                            | 1      | MP169                      |
| Live Impact Assessments                                 | 2      | SECMP0028, MP176           |

### 3. Updates on HIGH and VERY HIGH PRIORITY modifications

These are the modifications are ranked as high or very high on the Prioritisation Matrix.

| Modification                                                                                     | Priority  | Update                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |
|--------------------------------------------------------------------------------------------------|-----------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <a href="#">MP242 'Change to Operational Metrics to measure on success'</a>                      | VERY HIGH | The Preliminary Assessment was returned on 8 September 2023, showing costs of £208,750 for delivery. SECAS will now discuss the findings with the Proposer before presenting the key information to the October 2023 Working Group and OPSG. SECAS will issue a Refinement Consultation once queries raised during this process have been addressed.                                                                                                                                                                                                                                                                            |
| <a href="#">MP169 'Managing SEC obligations and the Consumers Right to refuse a smart meter'</a> | HIGH      | Following the return of the Preliminary Assessment, SECAS discussed the business requirements with the Proposer and DCC. After consideration, one business requirement which was identified as having a high cost has been removed from the scope of the modification. SECAS requested a second Preliminary Assessment on 1 September 2023.                                                                                                                                                                                                                                                                                     |
| <a href="#">DP218 'Review of the SEC Charging Methodology'</a>                                   | HIGH      | The DCC has provided the first batch of data requested by Working Group members on 12 July 2023. SECAS has reviewed the information with DCC and agreed that whilst it provides a breakdown of DCC costs, there is not a sufficient amount of new information to hold another ad-hoc Working Group meeting in September. Therefore, the data will be circulated to interested Parties to review and provide feedback. Further data has been requested from the DCC which is due at the end of 2023. Once SECAS has received this information we will determine whether it is appropriate to hold another Working Group meeting. |
| <a href="#">MP224 'SEC Performance Assurance Framework'</a>                                      | HIGH      | The responses to the Refinement Consultation were broadly supportive. Further consideration is needed to the depth of information that is needed before the modification can reach the Report phase, as well as the reporting requirements on Parties. The legal text is currently undergoing a review with the SEC Lawyer. This modification will be presented to the October Working Group meeting to discuss these points.                                                                                                                                                                                                   |
| <a href="#">MP230 'Review the SLA for delivery and completion for SMETS1 firmware'</a>           | HIGH      | SECAS has increased the urgency scoring from one to three as this modification must adhere to its current timeline to be implemented in the February 2024 SEC Release. This has elevated the priority of this modification to HIGH. SECAS is currently discussing the proposed legal text with SEC Parties before presenting this modification to the TABASC and Working Group.                                                                                                                                                                                                                                                 |
| <a href="#">MP231 'Firmware Upgrade Pathways'</a>                                                | HIGH      | This modification was presented to the Working Group on 6 September 2023. The Working Group commented that this modification would be useful for Small Suppliers who do not already have existing contractual relationships with Manufacturers. SECAS is addressing the points that were raised surrounding liability and non-disclosure detail within the legal text before returning to the CSC.                                                                                                                                                                                                                              |

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## 4. Changes to Report Phase dates

This section contains details about Report Phase dates for Modification Proposals in the Refinement Process. Some modifications have not yet had a DCC Preliminary Assessment requested and as such it is likely the Report Phase date may change when the Assessment is returned and the length of time needed to complete an Impact Assessment is confirmed. At the October CSC meeting, this section will contain updates on any changes to agreed Report Phase dates with the reasoning for the alteration.

| CSC Meeting   | Modifications        |
|---------------|----------------------|
| October 2023  | MP085B, MP224, MP231 |
| November 2023 | SECMP0028, MP176     |
| December 2023 | MP207, MP234,        |
| January 2024  | MP165, MP230         |
| February 2024 | MP169, MP223         |
| March 2024    | MP094, MP241         |
| April 2024    | MP184                |
| May 2024      | N/A                  |
| June 2024     | MP235                |

## 5. On-hold modifications

Below are the modifications which are currently on hold. This means SECAS are not working on these modifications and are waiting for the criteria to be met to take them off hold. This is a list of updates regarding these modifications. Should the modification appear to be unable to progress, SECAS will present this to the CSC to provide a recommendation to the Proposer that the modification needs to progress.

| Modification                                                                                           | Update                                                                                                                                                                                                                                                                                                                             |
|--------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <a href="#">MP187 'Incorporation of Target Round Trip Times and Target Success Rates into the SEC'</a> | This modification is linked to the progression of MP217 and MP242. This will remain on hold until progress has been made with MP242. SECAS is assessing the MP242 Preliminary Assessment and will discuss this with Working Group. Once these discussions have concluded, SECAS will explore the next steps for this modification. |
| <a href="#">DP197 'Reallocating Third Party Communications Hubs in the event of a SOLR'</a>            | SECAS is waiting for confirmation from the Proposer about the next steps for this modification. A verbal update will be provided in the CSC meeting with further details on the details of why the modification cannot be withdrawn.                                                                                               |
| <a href="#">DP206 'Allowing Generation Licence</a>                                                     | The corresponding Balancing Settlement Code (BSC) modification has now been raised. The BSC and Retail Energy Code (REC) changes are being progressed respectively. SECAS anticipates this modification will be                                                                                                                    |

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| Modification                                                                            | Update                                                                                                                                                                                                                                                                                                                                            |
|-----------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <a href="#">Holders to apply Export MPANs'</a>                                          | taken off hold and become a Modification Proposal at the October or November 2023 CSC meeting.                                                                                                                                                                                                                                                    |
| <a href="#">MP217 'Obtaining Timestamps to allow Target Response Time Measurements'</a> | This modification was placed on hold on 30 August 2023 because it is linked to MP242. SECAS has received the MP242 Preliminary Assessment and will present this to the Working Group before taking the next before deciding on the next steps for this modification, whilst also considering the impacts on MP187.                                |
| <a href="#">DP232 'ACB Certificates on Install and Commissioning'</a>                   | This modification was placed on hold on 30 August 2023. DP232 will remain on hold until a new manufacturing pack is available. There is potential for new ACB certificates to be included in the Enduring Change of Supplier (ECoS) programme. The DCC are working on the Certificate Programme and will provide SECAS with an update in October. |
| <a href="#">DP238 'TSAT Expired Communication Hubs'</a>                                 | Due to questions regarding the TSAT not achieving its purpose of driving Parties to install Communications Hubs by certain dates, the DCC has agreed to speak to the OPSG to gain further feedback. As such, this modification has been placed on hold as of 17 August 2023.                                                                      |

## 6. New Draft Proposal prioritisation

We have scored the new Draft Proposals raised this month against the Prioritisation Matrix, and a summary of our assessment for each is below. The scoring for each Draft Proposal and the current Prioritisation Matrix can be found in Appendix A.

| Draft Proposal                                                                         | Priority      | Rationale                                                                                                                                                                                                                            |
|----------------------------------------------------------------------------------------|---------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <a href="#">DP246 'DCC User access to metadata via the DCC Self-Service Interface'</a> | <b>MEDIUM</b> | <ul style="list-style-type: none"> <li>Potential high impact to Energy Consumers</li> <li>Risk of reputational damage to the SMIP</li> <li>Low usage of the MRT function represents lower risk of potential abuse to date</li> </ul> |
| <a href="#">DP247 'Future Dating of Service Requests for SMETS1 Devices'</a>           | <b>MEDIUM</b> | <ul style="list-style-type: none"> <li>Mitigate traffic during high-usage periods/events (e.g. Price Change events, monthly readings for billing)</li> </ul>                                                                         |
| <a href="#">DP248 'RDP Requirements for DCC Gateway Connections'</a>                   | <b>MEDIUM</b> | <ul style="list-style-type: none"> <li>Possible operational benefits for RDPs</li> <li>Introducing virtual gateway connections will require security assessment</li> </ul>                                                           |
| <a href="#">DP249 'Housekeeping Changes for the November 2023 SEC Release'</a>         | <b>MEDIUM</b> | <ul style="list-style-type: none"> <li>Addresses typographical errors and other inconsistencies</li> <li>Updating certification bodies</li> <li>Up-to-date security procedures</li> </ul>                                            |

## 7. Anticipated Modification Proposals

These are the anticipated modifications which SECAS is currently working on. We seek the CSC's early view on these anticipated modifications whilst SECAS undertakes further investigation.

| Modification                                        | Update                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |
|-----------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Traffic Management – Required User Actions          | The Proposer has identified guidance issued by the SEC Panel in relation to Price Change events that the SEC does not have the ability to enforce the actions listed in the guidance, meaning guidance could potentially be ignored. The Proposer would like to establish a forum (new or within an existing platform) to monitor DCC system impacting high traffic events and enforce actions required by DCC Users using the SEC. If the high-volume traffic events persist without mitigation, there is a possibility other processes utilising the DCC system could be adversely impacted. |
| GFI Sunsetting                                      | DCC has been informed by a Service Provider that the Manufacturer of the GFI Testing Tool can no longer produce the equipment included in the kit due to the lack of necessary hardware materials. Therefore, DCC can no longer provide any new GFI Testing Tools if requested.<br><br>Furthermore, the contract to provide support for GFI is due to end in November 2023. Therefore, DCC are seeking whether there are any maintenance requirements for the service from SEC Parties.                                                                                                        |
| Streamlining the Communications Hub returns process | The Proposer would like to simplify the DCC CH returns process.<br><br>There are around 60,000 Communications Hubs in warehouses belonging to Parties who are not responsible for them. These Devices are not decommissioned meaning they cannot be returned to the DCC. This is because the DCC returns process requires a decommissioning SRV to be sent by the current Supplier/responsible Party.                                                                                                                                                                                          |

## 8. Recommendations

The CSC is requested to:

- **APPROVE** the packages of work and the progression timetables outlined in this paper; and
- **AGREE** the prioritisation of the new Draft Proposals raised this month.

Ben Giblin

SECAS Team

12 September 2023

Annex A: SEC Modification Timetables

Attachments: **Appendix A:** Prioritisation scoring worksheet

## Annex A: SEC modification timetables updates

| Modification                                                                   | Update                                                                                                                                                                                                                                                                                                     |
|--------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <a href="#">MP094 'Supporting pre-payment customers in no SMWAN scenarios'</a> | SECAS discussed this modification with the DCC to determine potential solution options. The DCC is analysing the business requirements for this modification to determine what, if any, solution can be found. Once this is returned SECAS will present this modification to the Working Group.            |
| <a href="#">MP137 'Sharing information on Defects and Issues'</a>              | The Proposer has examined alternative options for this modification and decided that they would like to pursue a change to the SEC. SECAS will issue a Refinement Consultation before returning to the Working Group in November to discuss the responses.                                                 |
| <a href="#">MP241 'Interoperability Checker Update'</a>                        | During the July Working Group meeting members raised concerns that the Interoperability Tool in this modification could be in competition with a tool that already exists. The Proposer and another SEC Party met and agreed there is no duplication in this medication and the tool which already exists. |